| SEC I | Form 4 |
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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

| 1. Name and Address of Reporting Person* |         |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>APOGEE ENTERPRISES, INC. [APOG] |                  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |                       |  |  |  |
|--|---------|----------|---|------------------|--|-----------------------|--|--|--|
| <u>Puishys Joseph F.</u>                 |         |          | , <u></u> ,   | X                | Director   | 10% Owner             |  |  |  |
| (Last)                                   | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)                                      | - x              | Officer (give title below)   | Other (specify below) |  |  |  |
| 4400 WEST 78TH STREET<br>SUITE 520       |         |          | 05/01/2017  |                  | Chief Executive Officer & Pres   |                       |  |  |  |
| (Street)                                 |         |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)<br>05/03/2017                | 6. Indi<br>Line) | vidual or Joint/Group Fili   | ng (Check Applicable  |  |  |  |
| MINNEAPOL                                | IS MN   | 55435    |   | X                | Form filed by One Re   | porting Person        |  |  |  |
| (City)                                   | (State) | (Zip)    | —   |                  | Form filed by More th<br>Person  | an One Reporting      |  |  |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| ······································ |  |   |                              |   |  |               |                                 |   |   |   |  |
|--|--|---|------------------------------|---|--|---------------|---------------------------------|---|---|---|--|
| 1. Title of Security (Instr. 3)        | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |               |                                 | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |
|  |  |   | Code                         | v | Amount   | (A) or<br>(D) | Price                           | Transaction(s)<br>(Instr. 3 and 4)  |   | (Instr. 4)  |  |
| Common Stock                           | 05/01/2017                                 |   | М                            |   | 40,000   | A             | \$8.34                          | 263,239 <sup>(1)</sup>  | D   |   |  |
| Common Stock                           | 05/01/2017                                 |   | <b>S</b> <sup>(2)</sup>      |   | 40,000   | D             | <b>\$56.1344</b> <sup>(3)</sup> | 223,239   | D   |   |  |
| Common Stock                           | 05/02/2017                                 |   | М                            |   | 40,000   | A             | \$8.34                          | 263,239   | D   |   |  |
| Common Stock                           | 05/02/2017                                 |   | <b>S</b> <sup>(2)</sup>      |   | 40,000   | D             | <b>\$56.058</b> <sup>(4)</sup>  | 223,239   | D   |   |  |
| Common Stock                           | 05/03/2017                                 |   | М                            |   | 20,000   | A             | \$8.34                          | 243,239   | D   |   |  |
| Common Stock                           | 05/03/2017                                 |   | <b>S</b> <sup>(2)</sup>      |   | 20,000   | D             | \$55.4656(5)                    | 223,239   | D   |   |  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deri<br>Seci<br>Acq<br>(A) o<br>Disp<br>of (E | umber<br>vative<br>urities<br>uired<br>or<br>oosed<br>0) (Instr.<br>and 5) | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | ate                | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|---|--|--|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A)   | (D)  | Date<br>Exercisable                            | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Option<br>(Right to<br>Buy)                         | \$8.34  | 05/01/2017                                 |   | М                            |   |   | 40,000   | (6)  | 08/22/2021         | Common<br>Stock   | 40,000                                 | \$0.00  | 160,341  | D  |  |
| Option<br>(Right to<br>Buy)                         | \$8.34  | 05/02/2017                                 |   | М                            |   |   | 40,000   | (6)  | 08/22/2021         | Common<br>Stock   | 40,000                                 | \$0.00  | 120,341  | D  |  |
| Option<br>(Right to<br>Buy)                         | \$8.34  | 05/03/2017                                 |   | М                            |   |   | 20,000   | (6)  | 08/22/2021         | Common<br>Stock   | 20,000                                 | \$0.00  | 100,341  | D  |  |

#### Explanation of Responses:

1. Includes restricted stock awards under the 2009 Stock Incentive Plan.

2. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on January 31, 2017 which was reported on a Form 8-K filed February 6, 2017.

3. The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$54.66 to \$56.92. The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.

4. The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$55.74 to \$56.77. The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.

5. The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$55.17 to \$55.81. The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.

6. Currently 100% exercisable.

### **Remarks:**

The Form 4 has been amended to add a footnote relating to the sales inadvertently omitted from initial filing.

/s/ Joseph F. Puishys

\*\* Signature of Reporting Person

05/04/2017

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.